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RE:

U.S. Application Serial No: 09/778,104

Filed: FEBRUARY 7, 2001

Group: 2826

Inventor: YUUICHI HIRANO, ET AL.

For: SEMICONDUCTOR DEVICE AND
METHOD OF MANUFACTURING SAME

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SIR:

Attached hereto for filing are the following papers:

PROVISIONAL ELECTION

Our check in the amount of \$_--0-- is attached covering any required fees. In the event that any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,

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IN RE APPLICATION OF:

Yuuichi HIRANO, et al.

: GROUP ART UNIT: 2826

SERIAL NO.: 09/778,104

FILED: February 7, 2001 : EXAMINER: Mandala, v.

FOR: SEMICONDUCTOR DEVICE AND METHOD FOR MANUFACTURING SAME PROVISIONAL ELECTION

PROVISIONAL ELECTION

SIR:

In response to the Election of Species Requirement dated September 27, 2002, Applicants elect Species I, described in Figures 1-13, corresponding to Claims 1, 2, 5, and 6. Applicants make this election based on the understanding that Applicants are not prejudiced against filing one or more divisional applications that cover the non-elected claims.

In addition to making this election, Applicants respectfully traverse this Election Requirement for the reason that the inventions of Species I-VIII, indicated in the Election of Species Requirement, have not been shown to be distinct in the manner required by M.P.E.P. §816. MPEP §816 states:

The particular reasons relied on by the examiner for holding the inventions as claimed are either independent or distinct should be concisely stated. A mere statement of conclusion is inadequate. The reasons upon which the conclusion is based should be given.

Since the Election Requirement fails to set forth reasons relied on by the examiner for holding the inventions as claimed are either independent or distinct, it cannot be said to have met the requirement of MPEP §816.

In addition MPEP §806.04(f) requires:

...Claims to be restricted to different species must be mutually exclusive...

The outstanding Official Action fails to address in any way whether the pending claims recite mutually exclusive characteristics. This provides additional basis for traversing the election of species requirement.

Furthermore, MPEP § 803 states:

If the search and examination of an entire application can be made without serious burden, the Examiner must examine it on the merits, even though it includes claims to distinct or independent inventions.

The claims of the present invention would appear to be part of an overlapping search area.

Accordingly, Applicants respectfully traverse the Election of Species Requirement on the grounds that a search and examination of the entire application would not place a *serious* burden on the Examiner, whereas it would clearly be burdensome on Applicants to be required to file, prosecute and maintain separate applications and patents on the identified.

Accordingly, it is respectfully requested that the requirement to elect a single group be withdrawn, and that a full examination on the merits of Claims 1-13 be conducted.

Respectfully submitted,

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